

Event Vetting

Government employees, particularly high-level officials like United States Attorneys, are subject to ethical restrictions governing the types of events they may attend. Some restrictions relate to benefits, including luncheons, dinners, awards, and tokens of appreciation they may accept. Other restrictions relate, among other things, to participation in events that are sponsored by persons who do business with or lobby the Government; that have a fundraising component; where it may appear that official attendance is being used to promote the event or the sponsor; or where elected officials may be in attendance. To assist us in vetting compliance with government ethical restrictions, we would appreciate it if you could provide the following information. If you do not have specific information for each response, please provide such general or descriptive information as you currently have. Please do not hesitate to call the Office's Government Ethics Advisor, (b)(6), (b)(7)c or e-mail him at (b)(6), (b)(7)c to discuss this.

1. Name, date and time, and location of event.

NATIONAL ASIAN PACIFIC ISLANDER PROSECUTORS ASSOCIATION (NAPIPA).
LOCATION: THE CENTER AT THE CATHEDRAL, (555 W. TEMPLE ST, LOS ANGELES, CA 90012). DATE: OCTOBER 21, 2016 @ 6 PM.

2. Format of event (e.g., cocktails; presentations; speeches; and/or meal).

5 PM COCKTAILS; PRESENTATION BY KEY NOTE, DINNER, AWARDS, DANCING

3. Estimated cost per attendee of event.
\$110 FOR PRIVATE ATTORNEYS. \$90 FOR GOVERNMENT. \$50 STUDENTS

4. Are any attendees being charged to attend the event? YES, PLS SEE ABOVE

a. If so, who (in general) is being offered free attendance, and who is expected to pay?
EVERYONE PAYS WITH THE EXCEPTION OF SPEAKERS AND AWARDS WINNERS

5. Name of entity extending the invitation and link to web site (if any).

NAPIPA.ORG

- a. Is the entity extending the invitation registered with the Secretary of the Senate and the Clerk of the House of Representatives as a lobbyist pursuant to Section 1603(a) of Title 2 of the United States Code? NO

6. Sponsor of event if different from above.

- a. Is the sponsor of the event registered with the Secretary of the Senate and the Clerk of the House of Representatives as a lobbyist pursuant to Section 1603(a) of Title 2 of the United States Code?

7. Names of additional entities that will be recognized for sponsorship or support of the event.
NONE

8. How many persons are expected to attend? 250-300

9. Demographics of expected attendees (e.g., lawyers; law enforcement; business leaders; students; etc.) MOSTLY LAWYERS, BUSINESS LEADERS, STUDENTS.

10. Are any elected officials expected to attend and, if so, what is their expected role?

THE LOS ANGELES DISTRICT ATTORNEY AND CHIEF JUSTICE OF CA.

11. Will there be any political activity at the event (i.e., any activity directed toward the success or failure of a political party, candidate for a partisan political office or partisan political group, for example, any speeches urging people to vote for or against a particular candidate or political party)? NO

12. Is there a fundraising component to the event? (e.g., program advertisements; dinner ticket price; silent auction)? If so, please describe.¹

YES, PROGRAM ADVERTISEMENTS; HOWEVER, WE DO NOT EXPECT TO MAKE MONEY ON THE EVENT. WE WILL BE LOSING MONEY.

13. Expected role of U.S. Attorney (e.g., simple attendance; remarks; speech)

TO GIVE AN AWARDS WINNER SPEECH. NOTHING MORE.

14. If the U.S. Attorney is being honored:

- a. What are the criteria your organization applies to determine who should be honored for this specific award?

CAREER AND 2015-2016 ACCOMPLISHMENTS AS A PROSECUTOR IN THE UNITED STATES.

¹ For events that involve any fundraising leading up to or during the event: The United States Attorney may not participate in any active fundraising activity with respect to the event. Nor may the U.S. Attorney allow his name, position, or title to be used for any fundraising activity for your organization. If applicable, you may list his name as an awardee and/or speaker on the program and on invitations issued not more than 30 days prior to the event, along with other information about the program. He may not be listed on any "save the date" notices. Failure to comply with these limitations may preclude the U.S. Attorney from appearing at the event. If the U.S. Attorney is giving an official speech, he may be seated and have photos taken as appropriate for a speaker at the event, but you may not use his photo in connection with any other fundraising efforts.

- b. What is the internal process by which your organization selects potential honorees?
STRICTLY BASED ON NOMINATIONS FROM BOARD MEMBERS AND
REGULAR MEMBERS.

- c. For what specific public service or achievement do you wish to honor the U.S.
Attorney?

FOR HIS CAREER WORK AND ACCOMPLISHMENTS FROM INSIDER TRADING TO
SEEKING GOVERNMENT CORRUPTION.

- d. Did any of the accomplishments of the U.S. Attorney which you wish to honor
directly affect the organization or its members? (Describe.)

PREET'S WORK HELP ENCOURAGE YOUNG ASIAN AMERICANS TO BELIEVE THEY
CAN RISE TO MAKE A DIFFERENCE IN PEOPLE'S LIVES.

- e. Do any of the board members or host committee or senior staff have any matters
pending before or involving the United States Attorney's Office for the Southern
District of New York?

NO

- f. Please provide a description and the value of any award item (if the award item is
a plaque, certificate or trophy of little intrinsic value, you may so indicate).

PLAQUE (\$70-\$100)

- g. Who else is expected to be honored at the event?

TWO LAW STUDENTS, NAME TO BE ANNOUNCED & PROSECUTOR OF THE YEAR.

15. If the U.S. Attorney is making a presentation, who else is expected to be making a
presentation/be on a panel?

- a. Will the U.S. Attorney be presented with a token or memento in appreciation for
his presentation?
i. ___ Nothing
ii. ___X___ Plaque or other memento of little intrinsic value
iii. ___ Other. Please describe, including its value.

16. Describe any special seating arrangements for U.S. Attorney, *e.g.*, dais, general seating
(depending on the nature of the event, the U.S. Attorney may or may not be able to accept
"dais" seating.)

GENERAL SEATING ARRANGEMENTS

17. Promotion of the event: please provide a copy of or link to the invitation/promotional
material for the event (or if not available, for the prior year's event). NAPIPA.ORG

